# ORIGINAL ARTICLE

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# Phenotype differences of STRs in 7 human populations

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Abstract A maximum of 6 STR systems (TH01, VWA, ACTBP2, FES, F13B, D21S11) was investigated in 7 human populations (Germans, Turks, Moroccans, Japanese, Chinese, Papuans, Ovambos). In each population no deviations from Hardy-Weinberg equilibrium were observed. Out of each population the phenotypes of 50 individuals (comprising 3 to 6 STRs) were randomly selected. Based on the phenotype frequencies interpopulation comparisons were carried out using the frequencies of each other population. Within major ethnic groups only minor differences in phenotype frequencies were found. Between major ethnic groups differences of up to several orders of magnitude could be observed. The most discriminative STRs for interpopulation comparisons were TH01, FES and F13B.

**Key words** Short tandem repeats (STRs) · Population comparisons · Phenotype probability

# Introduction

STR polymorphisms tend to be the most promising DNA generation for forensic applications (Edwards et al. 1992; Brinkmann and Wiegand 1993; Kimpton et al. 1993). We have performed a large scale investigation including a maximum of 6 STRs and 7 ethnic groups. The investigation included phenotyping by the use of sequenced allelic ladders, sequencing of a considerable number of alleles, analysis of at least 500 meioses in each system. The advantage of this complex approach lies in the comparability of data because the same technology and definition were applied in all samples. In the following we have elaborated the question whether interracial and/or intraracial differences can be found between randomly selected

STR phenotypes. If such differences occur it would be necessary to know their influence on the evaluation of forensic casework and on evolutionary implications.

## **Materials and methods**

Population samples

The following Caucasian population samples were included: Germans from the Münster area (Northwestern Germany), Turks living in the Adana area (Southern Turkey) and a Moroccan subpopulation living in Brussels, Belgium. Asian (mongoloid) representives were Chinese from the Han Shen Yong area and Japanese from the Shiga area. Ovambos (Bantus) from Namibia were chosen as a Black African population sample and the Pacific Islander population sample were Papuans from Papua/New Guinea. For DNA isolation all samples were derived from healthy and urelated donors. A minimum of 100 individuals of each population was used to determine the allele frequencies in each STR system.

#### DNA extraction

DNA from EDTA blood (Germans) was extracted as described previously (Brinkmann et al. 1991). Air dried blood specimens (Turks, Moroccans, Ovambos, Papuans), dried saliva on cotton fabric (Japanese) and hair roots (Chinese) were extracted using Chelex 100 and Proteinase K (Wiegand et al. 1993 a).

DNA amplification and electrophoresis

PCR was carried out in 25  $\mu$ l reaction volumes, using 5 ng of template DNA, 1 U Taq-DNA-polymerase (Promega), 200 mM each deoxynucleotide, 1 mM each primer and 2  $\mu$ l reaction buffer (500 mM KCl, 100 mM Tris/HCl, pH 8,8; 1% Triton X-100, 0.1% gelatine). The PCR primers and reaction conditions for the STR systems applied have been described previously.

HumTH01 (Edwards et al. 1992; Wiegand et al. 1993b) HumVWA (Kimpton et al. 1992; Möller et al. 1994a) HumACTBP2 (Polymeropoulos et al. 1992; Möller and

Brinkmann 1994)

HumFES (Polymeropoulos et al. 1991; Möller et al.

1994b)

HumF13B (Nishimura and Murray 1992; Alper et al.,

subm.)

HumD21S11 (Sharma and Litt 1992; Möller et al. 1994b)

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Electrophoretic separation of PCR products was performed by high resolution polyacrylamide gel electrophoresis according to Allen et al. (1989) as essentially described by Möller et al. (1994 a, b).

Rand et al. (1992) and Wiegand et al. (1993b). An overview including more statistical evaluations and sequence data will be published elsewhere (Brinkmann et al., submitted).

#### Statistical evaluation

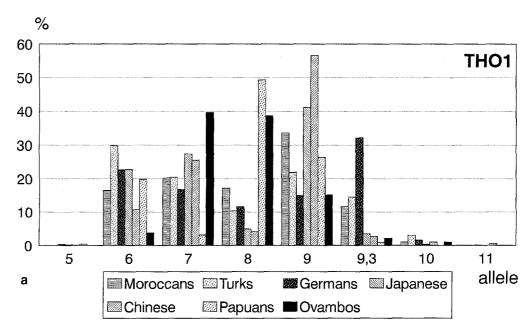
We have randomly selected 50 individuals out of each population sample and carried out interpopulation comparisons using the allele frequencies of each other population. If a given allele had not been observed in the compared population, its theoretical frequency was calculated with the null-hypothesis, e.g. if 300 alleles were checked without observing this allele, its maximum frequency was calculated to be 1:300, = 0.33%. For interpopulation comparisons the frequency pattern of person x in population y was set to one and the frequency difference to the same pattern in population x was expressed as the ratio. If the frequency was higher in population x the ratio was expressed as a figure > 1, if it was smaller as a figure < 1.

For all population samples Hardy-Weinberg equilibrium could be confirmed (P > 0.05) by forming allele classes according to

#### Results

Depending on the STRs applied, only minor allele frequency differences existed within the same ethnic group (e.g. Germans – Turks; Chinese – Japanese) but large differences could be observed between major ethnic groups (Fig. 1 a–g). For instance, in F13B allele 6 is prevalent in Ovambos with a frequency of ca. 40% and absent from both Asian populations and Papuans. Allele 10 is present in the latter populations with a frequency up to 75% in contrast to Ovambos with only 5%.

Fig. 1 Allele frequency distribution of 6 STRs in various human populations. a TH01; b VWA; c, d ACTBP2; e FES; f F13B; g D21S11



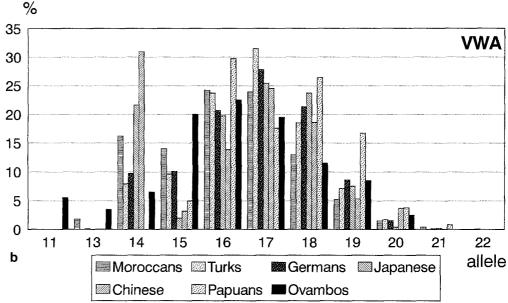


Fig. 1c-e

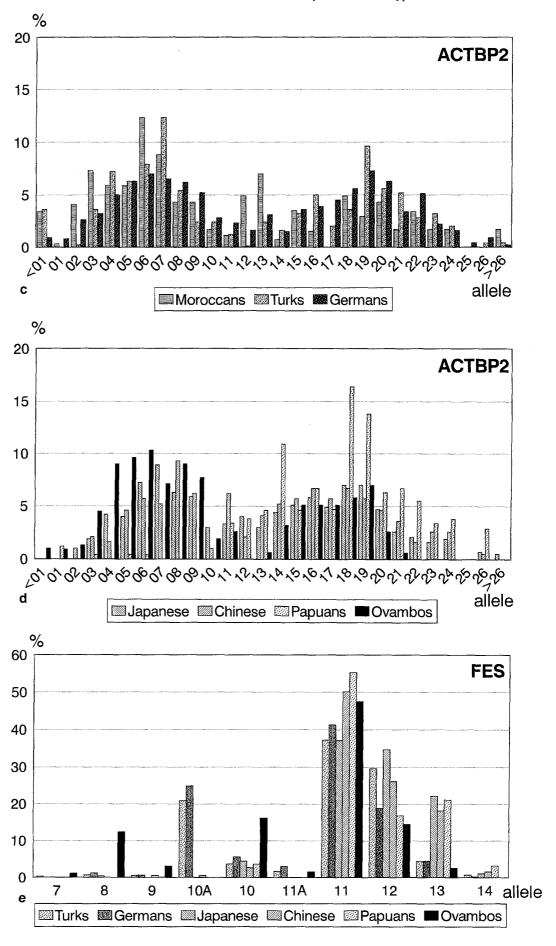
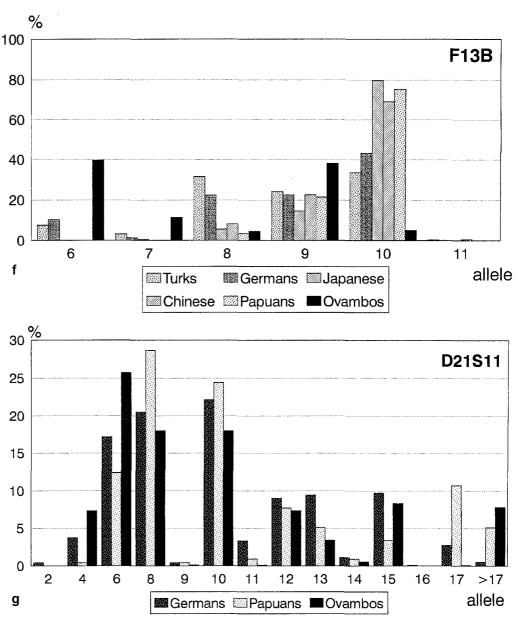


Fig. 1f-g



The calculation of the differences between 2 populations led to a wide variation of the individual figures (Table 1) and also to a considerable variation of the mean values associated to a population pair (Table 2). The STRs which are most discriminative between major ethnic groups are TH01, F13B and FES while VWA, ACTBP2 and D21S11 show smaller interpopulation differences.

Combining the phenotype data of 3 up to 6 STRs in the case of major ethnic groups results in a great increase of interpopulation differences. Within the same ethnic group, e.g. Caucasians or Asians an accumulative effect could not be observed. On the contrary, there can occur levelling effects (Table 3).

## **Discussion**

As has been discussed for SLPs, allele frequency differences between populations seem to become levelled after the application of several systems (Chakraborty and Kidd 1991; Budowle and Monson 1993). In contrast our results obtained with STRs indicate that differences of several orders of magnitude can be observed between major ethnical groups. There can exist extreme differences between major populations which no longer allow for any ceiling or levelling approach. To mention but a few data: After applying 5 STR systems, white Caucasians differ from Asians on average by approximately 4 orders of magnitude and after 6 systems from black Africans by  $1 \times 10^5$ .

In contrast, within a major ethnic group the differences seem to become levelled. Thus the mean difference

**Table 1** Phenotype frequency differences between Germans and Ovambos. Columns 2–7 show the phenotypes of 50 randomly selected German Caucasians for 6 STRs and their combined frequencies (column 8). The combined frequencies of these pheno-

types in Ovambos are given in column 9, the resulting differences are shown in column 10. The allele frequencies which were used for the calculations are given in Fig. 1 a–g

Ind.	THO	)1	VW	Ά	AC	ГВР2	FES		F13	В	D21	IS11	Germans	Ovambos	Difference
1	7	9	14	16	9	20	10A	10	10	10	12	15	1.18E-09	4.78E-13	2478.42
2	9	9.3	17	19	18	20	11	12	6	8	6	6	6.88E-09	2.04E-10	33.66
3	6	9	14	17	6	22	10A	11	6	10	6	10	3.61E-08	5.21E-12	6916.27
4	7	7	14	18	8	22	11	11	8	10	10	10	1.18E-08	7.17E-11	165.09
5	7	9.3	16	18	11	20	10A	12	6	8	8	10	1.05E-08	3.34E-12	3 134.53
6	6	9	15	18	4	18	10	11	10	10	6	12	4.35E-09	8.02E-11	54.29
7	9.3	9.3	18	18	3	6	10A	12	9	10	10	17	4.54E-09	1.29E-15	3505 525.36
8	8	9.3	17	19	19	23	11	12	8	10	8	10	3.17E-08	1.33E-11	2390.97
9	6	9.3	16	16	7	12	11	12	8	8	6	10	7.84E-09	1.55E-12	5 059.98
10	8	9.3	15	18	2	19	11	12	10	10	8	12	1.30E-08	1.16E-11	1 115.24
11	7	7	16	16	8	20	10A	10	9	10	6	11	5.76E-10	1.11E-12	516.91
12	6	9	17	17	8	10	10A	12	8	10	6	12	1.04E-08	2.63E-13	39 369.72
13	7	9.3	16	16	4	8	10A	10A	8	9	11	15	1.14E-09	1.92E-15	591 282.30
14	7	9.3	18	18	2	21	11	13	10	10	10	13	2.46E-09	2.35E-14	104456.06
15	7	9.3	19	20	5	7	10A	11	8	8	8	11	3.15E-10	4.57E-15	68 930.33
16	6	7	16	18	7	7	11	11	10	10	6	13	2.89E-08	8.94E-11	322.90
17	9.3	9.3	15	18	4	9	8	10A	7	9	8	12	2.73E-11	5.80E-13	47.09
18	6	9	15	17	4	19	11	11	6	10	8	11	5.65E-09	3.06E-11	184.53
19	7	9.3	15	16	6	18	10A	10A	9	10	6	17	3.92E-09	9.91E-15	395 766.19
20	7	9.3	17	18	4	18	10A	10A	8	10	6	12	2.63E-08	3.50E-14	752356.84
21	7	7	14	18	18	19	10A	11	8	10	8	10	3.38E-08	2.64E-11	1 282.56
22	6	9.3	16	18	15	19	10	13	8	9	6	10	2.55E-09	1.42E-11	179.94
23	6	9.3	14	18	12	18	8	11	6	7	15	15	2.55E-12	1.07E-12	2.38
24	6	9.3	17	17	<1	8	11	12	10	10	8	8	1.51E-08	5.95E-13	25 470.46
25	8	9	17	18	18	11	11	12	8	6	8	10	6.72E-09	4.91E-09	1.37
26	6	8	14	17	22	22	11	12	9	10	8	13	8.59E-09	1.13E-12	7 572.17
27	6	9	17	17	7	17	10	11	9	10	10	15	1.17E-08	5.42E-10	21.61
28	8	9	17	18	6	8	10A	10A	8	8	8	15	4.39E-09	1.50E-13	29 217.55
29	9.3	9.3	16	17	5	23	11	12	9	9	6	12	8.20E-09	3.45E-11	237.37
30	9.3	9.3	15	16	9	21	11	12	10	10	6	8	3.08E-08	7.27E-13	42376.12
31	6	7	16	18	6	7	10A	11	9	10	13	15	4.54E-08	6.24E-11	727.66
32	8	9	14	16	9	20	10A	12	8	10	6	8	1.16E-08	5.60E-12	2065.33
33	8	9	14	16	3	7	11	12	8	10	10	13	7.20E-09	2.06E-10	34.95
34	9	9.3	19	19	8	12	12	12	7	8	4	8	4.17E-12	2.33E-13	17.87
35	6	8	16	17	6	17	10A	10A	8	8	9	12	8.46E-11	1.86 <b>E</b> -16	455 802.34
36	6	6	15	17	15	18	10A	12	6	8	10	13	2.05E-09	4.48E-13	4567.58
37	6	7	16	18	<1	2	10A	12	10	10	10	13	2.23E-09	9.47E-15	235 118.58
38	7	7	17	18	9	22	11	11	8	10	6	15	1.93E-08	1.80E-10	106.96
39	6	8	17	17	7	19	10	11	8	10	10	15	1.47E-08	2.12E-10	69.37
40	6	8	16	18	3	18	11	11	9	10	6	8	3.89E-08	7.70E-09	5.05
41	6	9.3	17	20	4	16	10	12	8	10	15	>17	9.59E-13	3.19E-13	3.00
42	9	9.3	15	17	4	23	11	12	8	10	10	15	1.56E-08	7.90E-12	1 971.47
43	6	9.3	14	17	17	23	10	13	8	9	6	10	6.12E-10	4.99E-13	1 226.20
44	9.3	9.3	16	18	8	10	8	11	6	6	9	14	3.00E-13	1.07E-14	27.95
45	7	9.3	15	16	4	13	10A	12	8	10	4	14	2.05E-10	6.24E-15	32832.66
46	7	9.3	18	18	6	24		11	8	10	6	6	1.31E-08	3.33E-13	39436.71
47	8	9.3	14	17	6	20	11	12	8	8	6	6	8.19E-09	3.76E-11	217.92
48	8	9	18	18	4	8	10A		8	10	10	11	6.16E-10	1.01E-14	60 820.28
49	6	9.3	17	18	18	19	10	11A	10	10	12	13	1.49E-09	3.52E-14	42 377.90
50	7	9	15	17	6	25	13	14	8	9	8	17	2.75E-13	3.21E-14	8.57
														MV =	131 916.13

Table 2a Mean frequency differences (population discrimination power) of single STR systems between a) Germans; b) Chinese; and c) Ovambos and other populations as indicated. (Ger = Germans; Tur = Turks; Mor = Moroccans; Ova = Ovambos; Chi = Chinese; Jap = Japanese; Pap = Papuans). The mean values (MV) were derived from 50 randomly chosen phenotypes. SD = standard deviation; Min = minimum value; Max = maximum value

		TH01	VWA	ACTBP2	FES	F13B	D21S11
a							
Ger-Chi	MV SD	23.3 44.8	1.8 1.2	1.8 1.5	267.8 747.2	15.6 61.5	
	Min	0.17	0.36	0.3	0.03	0.39	
~ ·	Max	152.4	4.7	10.2	2460.2	424.4	
Ger-Jap	MV SD	11.5 22.1	1.6 1.4	1.6 2.1	707.9 2063.1	40.9 168.9	
	Min	0.22	0.44	0.31	0.02	0.29	
C. M.	Max	75.3	4.6	12.9	6833.8	1178.8	
Ger-Mor	MV SD	2.2 2.2	1.3 0.6	1.9 2.3			
	Min	0.29	0.52	0.17			
Ger-Ova	Max MV	7.9 40.4	2.8 1.7	14.1 4.2	262.4	29.1	6.7
GCI-Ova	SD	72.2	0.8	14.7	740.5	27.5	11.9
	Min Max	0.17 233.7	0.46 3.4	0.39 104.7	$0.08 \\ 2460.2$	0.03 74.7	0.45 40.5
Ger-Pap	MV	90.4	6.2	50.7	407.2	35.6	2.4
<u></u>	SD	180.8	11.0	83.0	1 157.8	101.8	2.5
	Min Max	0.13 609.7	0.2 37.9	0.17 284.3	0.01 3 844.0	0.33 663.1	0.18 11.3
Ger-Tur	MV	1.6	1.0	1.5	1.2	1.1	
	SD Min	1.3 0.52	0.2 0.76	1.3 0.28	0.5 0.29	0.4 0.28	
	Max	4.9	1.47	6.4	2.67	1.9	
b							
Chi-Ger	MV	6.8	2.0	2.2	3.9	1.9	
	SD Min	5.4 0.03	2.3 0.28	2.2 0.2	5.0 0.03	0.8 0.36	
	Max	14.4	10.2	12.0	32.2	2.6	
Chi-Jap	MV	1.3	1.2	1.3	1.0	1.1	
	SD Min	0.5 0.4	0.7 0.5	0.7 0.3	0.2 0.5	$0.4 \\ 0.8$	
	Max	1.9	4.5	3.4	1.4	2.2	
Chi-Mor	MV SD	1.9 0.9	1.7 0.9	8.6 15.3			
	Min	0.05	0.3	0.07			
CI : O	Max	2.8	3.7	80.3		100.0	
Chi-Ova	MV SD	6.9 5.7	4.2 5.9	4.4 9.3	5.5 7.7	100.8 90.7	
	Min	0.07	0.24	0.08	0.3	1.1	
Chi-Pap	Max MV	14.1 8.4	22.6 383.5	59.0 37.1	48.5 1.2	190.4 1.1	
Ст-т ар	SD	7.4	1425.5	88.5	0.5	0.5	
	Min Max	0.04 18.0	0.2 5967.6	0.07 331.3	$0.4 \\ 2.4$	0.8 2.5	
Chi-Tur	MV	3.4	2.6	3.7	2.9	2.8	
Citi Tui	SD	2.4	3.5	3.8	2.9	1.5	
	Min Max	0.07 6.7	0.3 15.3	0.14 16.8	0.02 16.9	0.24 4.2	
<u></u>							
Ova-Ger	MV	5.6	26.8	1.8	5.5	14.6	3.5
	SD Min	3.3 0.16	173.0 0.3	0.8 0.2	8.1 0.6	21.2 0.2	5.7 0.001
	Max	10.7	1 225.0	3.8	29.5	90.3	23.3
Ova-Jap	MV	12.8	11.2	1.8	3.0	4555.6	
	SD Min	19.2 0.24	25.8 0.16	$\frac{1.4}{0.18}$	3.1 0.12	7356.2 0.16	
	Max	62.7	136.1	7.8	11.2	17424.0	
Ova-Mor	MV SD	3.0 1.7	1.2 0.7	4.7 6.7			
	Min	0.37	0.3	0.2			
	Max	4.7	3.8	27.0			

Table 2 (continued)

		TH01	VWA	ACTBP2	FES	F13B	D21S11
Ova-Chi	MV	16.8	7.1	2.5	17.2	1 684.6	
	SD	26.5	12.0	2.5	39.7	2638.4	
	Min	0.4	0.13	0.1	0.14	0.12	
	Max	85.9	49.0	10.1	146.9	6272.6	
Ova-Pap	MV	26.2	5.2	181.0	15.6	2624.3	4.6
, and the second	SD	53.0	11.5	241.3	36.2	4127.2	9.5
	Min	0.14	0.2	0.05	0.11	0.12	0.001
	Max	167.3	76.6	795.0	134.1	9801.0	44.6
Ova-Tur	MV	5.3	4.4	2.3	11.1	11.8	
	SD	4.1	19.1	1.2	20.7	10.4	
	Min	0.24	0.38	0.12	0.23	0.2	
	Max	13.6	136.1	4.6	76.6	27.9	

**Table 3** Combined phenotype frequency differences (mean values) of 3 up to 6 STR systems between different human populations. Abbreviations as given in Table 2

	3 systems (TH01, VWA, ACTBP2)	4 systems (FES)	5 systems (F13B)	6 systems (D21S11)
Mor-Ger	13	_		_
Mor-Tur	6.1	_	~	_
Mor-Chi	34	-	~	_
Mor-Pap	7496	_	-	_
Mor-Jap	65	_	-	
Mor-Ova	62		~	_
Chi-Ger	27	116	203	_
Chi-Tur	30	106	238	_
Chi-Mor	21	_	-	_
Chi-Pap	50273	53 959	53752	
Chi-Jap	2.0	2.2	2.3	_
Chi-Ova	198	719	62 199	_
Pap-Ger	149	609	729	6133
Pap-Tur	156	547	1 104	_
Pap-Chi	577	745	767	
Pap-Mor	267.3			
Pap-Jap	273	362	349	~
Pap-Ova	187	946	149 396	238 595
Ger-Tur	2.5	3.0	2.9	
Ger-Mor	4.4			
Ger-Chi	86	9739	31 281	~
Ger-Pap	6200	1790582	10490118	11749276
Ger-Jap	36	6623	31 290	-
Ger-Ova	120	1 446	31491	131916
Jap-Tur	14	88	293	
Jap-Mor	9.2	00	273	eron.
Jap-Chi	2.3	2.7	2.8	-
Jap-Pap	82782	93 351	105 650	~
Jap-Ger	9.4	41	100	~
Jap-Ova	35	186	38 008	~
Ova-Tur	26	268	2 199	_
Ova-Mor	19	_	_	~
Ova-Moi Ova-Chi	209	2568	278 528	~
Ova-Em Ova-Pap	5 132	77 677	15703461	52 645 562
Ova-Jap	269	688	230938	-
Ova-Jap Ova-Ger	140	1 575	10273	14211
Fur-Ger	8.2	2.2	2.2	
Tur-Ger Tur-Mor	4.8	۷.۷	2.2	_
Tur-Moi Tur-Chi	4.6	19 209	92909	_
Tur-Cm Tur-Pap	1054	116057	1 423 334	
rur-rap Tur-Jap	22	23 387	235 073	_
Tur-Jap Tur-Ova	365	327 147	1467681	-,000

between Turks and Germans is extremely small (Tur  $\rightarrow$  Ger; 5 systems, mean value (MV) = 2.2) and quite small differences exist between Germans and Moroccans (Ger  $\rightarrow$  Mor; 3 systems, MV = 4.4). Furthermore, the difference comparing Japanese and Chinese is also extremely small irrespective of which population the phenotypes were derived from (Jap  $\rightarrow$  Chi; 5 systems, MV = 2.8); but between Japanese and Germans for example there exist different mean values depending on the direction of comparison (Ger  $\rightarrow$  Jap; 5 systems, MV = 31 290; Jap  $\rightarrow$  Ger; 5 systems, MV = 100). This can be explained by the fact that all alleles present in the Japanese population also exist in Germans but not all alleles present in Germans can be observed in Japanese.

For forensic application our results suggest that STR typing of medicolegal evidence requires knowledge of which ethnic group a suspect belongs to and the allele frequencies in this population.

Using the wrong data base could lead to a miscalculation of probability of up to 5 orders of magnitude (Ger  $\rightarrow$ Ova; 6 STRs, MV = 130000; Ger  $\rightarrow$  Chi; 5 STRs, MV = 31000). Within a major ethnic group such as Caucasians, the differences in allele frequencies are low and differences of combined phenotypes among Germans, Turks and Moroccans nearly become levelled. A comparison of German and other Caucasians populations also reveals minor differences (TH01: Germans  $\rightarrow$  Americans MV = 1.0; Germans  $\rightarrow$  Croatians MV = 1.28; Germans  $\rightarrow$  Danes MV = 1.02; Puers et al. 1993; Kubat et al. 1995; Nellemann et al. 1994; VWA: Germans  $\rightarrow$  Italians MV = 1.04; Germans  $\rightarrow$ Finns MV = 1.25; allele frequencies derived from Buscemi et al. 1995; Sajantila et al. 1994). These data suggest that also further Caucasian populations will show this levelling effect. Our data further suggest that in the case of biological evidence typed with a set of STR markers the ethnic origin of a phenotype pattern can be predicted sometimes with considerable probability. But this would always necessitate precise information of existing alternatives. For instance this could especially be important in countries like the USA where Americans of African origin and Caucasians are abundant and equally represented. Of course the allele frequencies between Black Americans and Ovambos are not identical (TH01: Ovambos  $\rightarrow$  US Blacks, MV = 1.8; Puers et al. 1993; VWA: Ovambos  $\rightarrow$ US Blacks, MV = 2.1; Sajantila et al. 1994) but these relatively small differences will only have a minor influence on the particular phenotype probabilities.

As already mentioned, STRs such as TH01, FES and F13B have a higher power of discrimination between major ethnic groups compared to VWA, ACTBP2 and D21S11.

The question arises whether these differences are due to different mutation rates, genetic drift or admixture. So far we have investigated more than 500 meioses in each of the STR systems and have detected 2 mutations in VWA (0.3%) and 3 mutations in ACTBP2 (0.4%) only. Therefore, the most probable explanation for the observed differences is genetic drift rather than new mutations. Thus we assume that the phenotype frequency differences are due to differences within the founder groups of the major

ethnic groups. Each small founder group must have had an original STR pattern or pool which made it different from the other ones.

Additional changes in population size and genetic admixture from other populations could have modified the allele composition compared to the original distributions (Brinkmann et al., submitted).

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